

## Information required to allow General Electric Company to process a Technical Assistance Agreement

1. Legal entity name and complete addresses for all the Parties as well as any other company sites that may be involved in this transaction.

**Example: ABC Technologies**  
**Full Street Address (no P.O. Box)**  
**City, Country, Zip Code**

2. Complete description of your role as a foreign party to this Agreement
3. Identify the foreign end user, as defined below, including complete address, under this Agreement.

Definition:

The entity abroad that receives and ultimately uses the exported item. The end-user is not a forwarding agent or intermediary, but may be the purchaser or ultimate consignee.

**Example: The Government of Turkey**  
**Full Street Address (no P.O. Box)**  
**City, Country, Zip Code**

4. Identify all foreign consignees, as defined below, involved in this transaction.

Definition:

Individual, organization or agency, other than a bank or common carrier, specifically authorized by the ultimate consignee or foreign end-user to receive the defense articles on behalf of the foreign end-user, for storage, modification, or incorporation into another end-item, and who will eventually be responsible for forwarding the defense articles to the foreign end-user.

Include private 3rd parties who will procure defense articles (i.e., hold title to the defense article) manufactured pursuant to the Agreement and then sell ultimately to the foreign government (example below) are considered "party to the export" and must be identified in the TAA as approved end users.

**Example: ABC Technologies**  
**Full Street Address (no P.O. Box)**  
**City, Country, Zip Code**

5. Will sublicensing rights, as defined below be required for this Agreement? If "Yes", please fill out the table below.

Definition:

Sublicensing by a foreign signatory involves the retransfer or re-export of USML controlled defense articles and/or technical data for the foreign signatory to a third party that is not a signatory to the agreement, but whose participation based on the scope of the agreement and work-share requirements is essential to fulfilling the objectives of the agreement.

Name of Company	Country of Company	Full Address (No PO Box)	Role of Sub-Licensee	Defense Articles or Data to be Transferred
ABC Aerospace Corp.	Canada	123 East Drive, Ontario, Canada 764B56	Component Repairs	F404 Components & Parts and related technical data

6. Will this agreement require transfers to dual or third country national employees (including sublicensees – if applicable)? If “Yes”, complete **Worksheet 1**, then select the options that should apply to this Agreement:

Definitions:

Dual National: An individual who holds nationality from the country of their employer who is a foreign licensee (including sublicensees – if applicable) to the agreement, and also holds nationality from one or more additional countries.

Third Country National: An individual who holds nationality from a country other than the country of their employer who is a foreign licensee (including sublicensees – if applicable) to the agreement.

**Note: Include input from all relevant sublicensees when answering a-c below? You may select multiple options as appropriate for your organization.**

- a.  Transfers of defense articles, to include technical data, to dual nationals and/or third country nationals will be conducted pursuant to ITAR 124.16.
- b.  Transfers of defense articles, to include technical data, to dual nationals and/or third country nationals will be conducted pursuant to ITAR 126.18(c)(1).

**Note: GE Agreements do not permit retransfers under the authority of ITAR 126.18(c)(2). GE is currently awaiting additional guidance from DDTC concerning the implementation of this exemption. However, exceptions may be considered on a case-by-case basis.**

- c.  Transfers of defense articles, to include technical data, to dual nationals and/or third country nationals will be conducted pursuant to ITAR 124.8(5). If this option is selected, list all relevant countries applicable to each foreign signatory (including sublicensees and contract employees).

*Example text: Turkey, Kuwait*

## Compliance Certification

**TAA's will not be submitted to the U.S. Department of State until this form is executed and emailed to the GE License Owner**

- a. The information provided in this questionnaire is true and accurate.
- b. I will alert GE immediately at [ge.itc@ge.com](mailto:ge.itc@ge.com), if the information provided changes.

c. I have read GE's Foreign Party Guidelines found at:

[http://geae.com/aboutgeae/doingbusinesswith/trade\\_compliance/index.html](http://geae.com/aboutgeae/doingbusinesswith/trade_compliance/index.html)

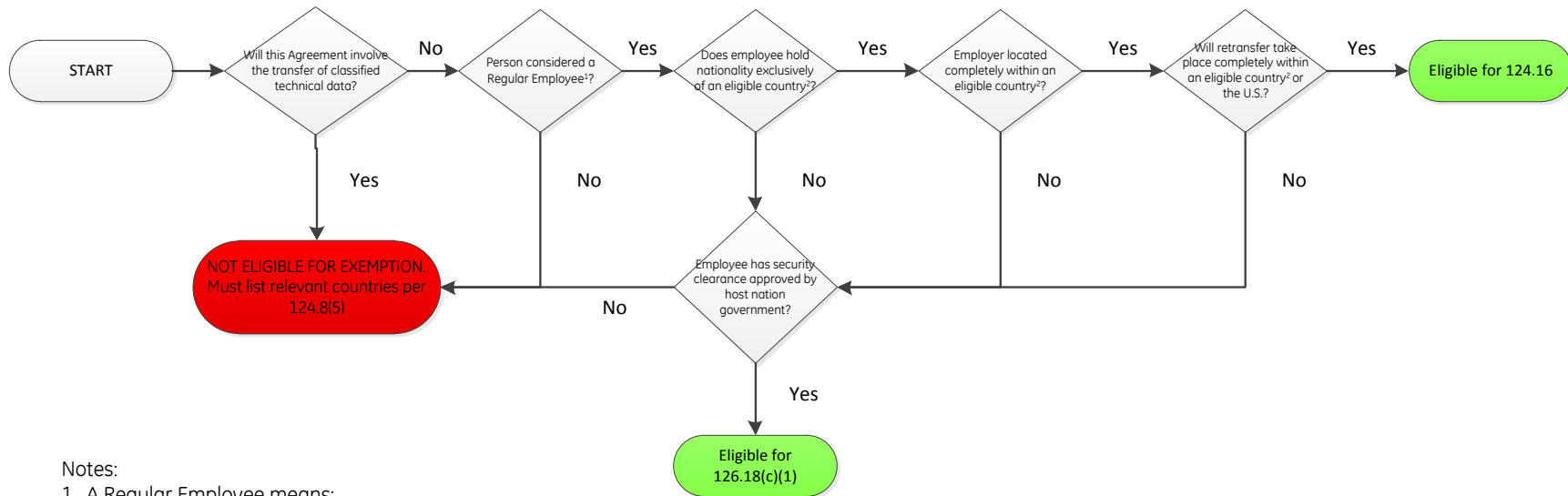
Typed Name (Signature NOT required) : \_\_\_\_\_

Title: \_\_\_\_\_

Date: \_\_\_\_\_

## Worksheet 1 Dual/ Third Country National Exemption Worksheet

Repeat this worksheet for each dual or third country national employee.



**Notes:**

1. A Regular Employee means:

- (a) An individual permanently and directly employed by the company, or
- (b) An individual in a long term contractual relationship with the company where the individual works at the company's facilities, works under the company's direction and control, works full time and exclusively for the company, and executes nondisclosure certifications for the company, and where the staffing agency that has seconded the individual has no role in the work the individual performs (other than providing that individual for that work) and the staffing agency would not have access to any controlled technology (other than where specifically authorized by a license).

2. Eligible countries include: Members of NATO the European Union, Australia, Japan, New Zealand or Switzerland?